

## **Colorado Marijuana Enforcement Division** Retail Business License Application Instructions APPLICATION CHECKLIST **Application Fully Completed** Type or clearly print an answer to every question. If a question does not apply to you, indicate so with an N/A. If you are unsure if a question applies to you or what information the form is asking you to provide, contact any Marijuana Enforcement Division office to seek clarification. If the available space is insufficient, continue on a separate sheet and precede each answer with the appropriate title. A separate application is required for EACH license type. All Forms Signed & Attached The following accompanying forms must be signed and returned with the application: Affirmation & Consent Investigation Authorization/Authorization to Release Information Applicant's Request to Release Information All Requested Information Attached (Other forms may be made available and may be 3 required at time of application) The following information requested on the application must be attached, if applicable: Trade Name Registration Certificate of Good Standing from the Colorado Secretary of State's Office Certified Copy of Articles of Incorporation, including amendments for corporations Articles of Organization, including amendments and operating agreement for LLC Partnership Agreement, or operating/shareholder agreements If corp., annual and bi-annual reports and meeting minutes from past 12 months All applicable information requested on page 6 Documentation showing legal possession of the premise to be licensed Diagram of premise to be licensed (described on page 4, question 4) including security drawing Copies of notes, security instruments, etc., (detailed on page 4, question 5 and page 6, question 9 Explanation detailing the funding sources used to finance the applicant business List of financial institution accounts as detailed on page 6, question 10 Copy of current Bond Note: The Marijuana Enforcement Division reserves the right to request additional information and documentation throughout the course of the background investigation. **Application and License Fees** See fee table on website. Retail Marijuana license application fees are split between the Marijuana Enforcement Division (MED) and the Local Licensing Authority. In order for the State to accept this application, both the State and Local fees must be paid at the time the application is accepted by MED. This will require two (2) checks or money orders; one made payable to DOR and one made payable to the Local Licensing Authority, for EACH License. You are responsible for knowing who your Local Licensing Authority is. **Bring in Application (BY APPOINTMENT ONLY)** Bring in application and all attachments to: Marijuana Enforcement Division 455 Sherman Street, Suite 390 Denver, CO 80203

DR 8548 (09/16/13)
COLORADO DEPARTMENT OF REVENUE
Marijuana Enforcement Division
455 Sherman Street, Suite 390
Denver CO 80203

## Colorado Marijuana Licensing Authority

# **Retail Business License Application**

License Types & Fees (See Application Checklist for details on license types and fees.)				
Retail Marijuana Store Retail Marijuana Cultivation Retail Marijuana Test Facility	Convers	Marijuana Produc sion Medical Marijuan		
Applicant's Legal Business Name (Please Print)		Marijuana Licer	nse Number	(Assigned by Division)
Trade Name (DBA) (Provide Trade Name Registration)		Website Addres	SS	
Physical Address			-	
Street Address of Marijuana Business		City		State ZIP
Business Phone Number Bus	siness Fax Number	Em	ail Address	
( )	)			
Mailing Address (if different from Business A	\ddress)			
Address	City	(	State	ZIP
Primary Contact Person for Business	Title		Primary Con	ntact Phone Number
Primary Contact Address (city, state ZIP)		F	Primary Conf	tact Fax Number
Federal Taxpayer ID Colorado	o Sales Tax License #	mail Address	`	
Type of Business Structure				
Sole Proprietorship Partnership	Limited Partnership		Limited Liab	oility Company
C Corporation S Corporation	Publicly Traded Corporation		Trust (	Other
State of Incorporation or Creation of Business Entity				Date
Date of Qualification to Conduct Business in colorado (Provid	de Certificate of Good Standing fro	om the Colorado	Secretary o	of State's Office)
If a Corporation, List all States Where the Corporation is Auth	norized to Conduct Business			
List all Trade Names used by the Business Entity (other than	above)			
Attach copies of all articles of incorporation, byla agreement, including any and all amendments to	o such.			
If a corporation, attach copies of all annual and be meetings for the past 12 months.	วเ-annual reports, SEC filino	gs, if any, and	a all minut	tes from all corporate

1. Is the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager under the age of twenty-one years?					
<ol><li>Has the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation) or manager ever (in Colorado or any other state);</li></ol>					
(a) been denied a privileged license (ie: Liquor, Gaming, Racing and Marijuana)?  (b) had a privileged license (ie: Liquor, Gaming, Racing and Marijuana) suspended or revoked?  (c) had interest in another entity that had a privileged (ie: Liquor, Gaming, Racing and Marijuana) license denied, suspended or revoked?  If you answered yes to 2a, b or c, explain in detail on a separate sheet.					
3. Has a Marijuana license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any current or former financial interest in said business including any loans to or from a licensee.					
4. Does the applicant have legal posses arrangement? Attach all documentation    Ownership Lease Oth		possession. Deed, Title, sa		). 	
(a) If leased, list name of landlord and	I tenant, and date	e of expiration, EXACTLY a	as they appear on the lease	:	
Landlord	Tenant		Expires		
Attach a diagram of the premises to be limited access areas, walls, partitions, e security equipment locations. This diagram	entrances, exits ar	nd what each room shall be	utilized for in this business, ir	ncluding	
5. Who, besides the owners listed in this companies, trusts), will loan or give m receive money or profits from this busin	oney, inventory, fu	irniture or equipment to or fo			
Name	Date of Birth	FEIN OR SSN	Interest		
Attach copies of all notes and security in	struments, and a	ny written agreement, or d	etails of any oral agreemen	t, by	
which any person (including partnerships			,		
proceeds of this establishment, and any by volume, profit, sales, giving of advice		ng to the business which is	contingent or conditional in	n any way	
Local Licensing Authority (To be filled	l out by Applica	nt)			
Local Licensing Authority/Department		Address			
Local Licensing Authority contact name		Contact Phone	Contact Email		
Date of application with local authority		Date of approval from local auth	ority, if any		
Are you requesting a concurrent review? Yes No					
6. Has the Applicant filed for a retail marijuana cultivation?					
		?		Yes No	
What City or County? (Fill out a separate and comp	juana cultivation?	?		Yes No	
What City or County? (Fill out a separate and comp  7. Does the Retail Applicant have evider accordance with 12-43.4-303 C.R.S.	juana cultivation?	d sufficient bond in the amo	ount of \$5000.00 in	Yes No	

#### **Ownership Structure** List all persons and/or entities with any ownership interest, and all officers and directors, whether they have ownership interest or not. If an entity (corporation, partnership, LLC, etc.) has interest, list all persons associated with such entity, their ownership in the entity, and their effective ownership in the license. List all parent, holding or other intermediary business interest. An Associated Key License Application form must be submitted for all persons in a privately held company or a publicly traded corporation, and all officers and directors. SSN/FEIN DOB App submitted? Name Yes No Phone Number Address City State ZIP Own. % Business Associated with Effective Own. % in Applicant Business Associated with (Parent business or sub-entity) SSN/FEIN DOB Name Title App submitted? Yes Phone Number Address City State ZIP Business Associated with (Parent business or sub-entity) Own. % Business Associated with Effective Own. % in Applicant Name Title SSN/FEIN DOB App submitted? Yes No State ZIP Phone Number Address City Business Associated with (Parent business or sub-entity) Own. % Business Associated with Effective Own. % in Applicant Name Title SSN/FEIN DOB App submitted? ☐Yes ☐No ZIP Phone Number Address City State Effective Own. % in Applicant Business Associated with (Parent business or sub-entity) Own. % Business Associated with SSN/FEIN DOB App submitted? Name Title | Yes | No ZIP Address City State Phone Number Effective Own. % in Applicant Business Associated with (Parent business or sub-entity) Own. % Business Associated with Name Title SSN/FEIN DOB App submitted? \_\_ Yes L No Address City State ZIP Phone Number Effective Own. % in Applicant Business Associated with (Parent business or sub-entity) Own. % Business Associated with App submitted? SSN/FEIN Name Title DOB Yes No Address City State ZIP Phone Number Business Associated with (Parent business or sub-entity) Own. % Business Associated with Effective Own. % in Applicant Are there any outstanding options and warrants? Yes No \*If YES, attach list of persons with outstanding options and warrants Are there any other persons, other than those listed in the Ownership Structure, including but not limited to suppliers, lenders and landlords, who will receive, directly or indirectly, any compensation or rents based upon a percentage or share of gross proceeds or income of the Marijuana business? Yes No \*If YES, attach list of persons

Prin	ted Legal Business Name	Printed Trade Name (I	DBA)	
	Has the applicant, the applicant's parent company or any applied for a Marijuana license in this or any other jurisdic the license was ever issued? If YES, provide details on a of license, license number, and dates license held or appl	ction, foreign or dor separate sheet, inc	mestic, whether or not	Yes No
2. Has the applicant, the applicant's parent company or any other intermediary business entity ever been denied a Marijuana license, withdrawn a Marijuana license or had any disciplinary action taken against any Marijuana license that they have held in this or any other jurisdiction, foreign or domestic? If YES, provide details on a separate sheet, including jurisdiction, type of action, and date of action.				☐Yes ☐No
Fir	nancial History			
1.	Is the applicant, the applicant's parent company or any of delinquent in the payment of any judgments or tax liability anywhere? If YES, provide details on a separate sheet a settlement or resolution of the delinquency.	ies due to any gove	ernmental agency	☐Yes ☐No
2.	2. Has the applicant, the applicant's parent company or any other intermediary business entity filed a bankruptcy petition in the past 5 years, had such a petition filed against it, or had a receiver, fiscal agent, trustee, reorganization trustee or similar person appointed for it? If YES, provide details on a separate sheet and attach any documents from the bankruptcy court.			☐ Yes ☐ No
3.	Is the applicant, the applicant's parent company or any of a party to, or has it ever been a party to, in any capacity, provide details on a separate sheet.			☐Yes ☐No
4.	4. Has a complaint, judgment, consent decree, settlement or other disposition related to a violation of federal, state or similar foreign antitrust, trade or security law or regulation ever been filed or entered against the applicant, the applicant's parent company or any other intermediary business entity? If YES, provide details on a separate sheet and attach any documents to prove the settlement of any of these issues. Include any items currently under formal dispute or legal appeal.			☐Yes ☐No
5.	Has the applicant, the applicant's parent company or any party to a lawsuit in the past 5 years, either as a plaintiff or in any other fashion, in this or any other country? If YE attach any documents to prove the settlement of any of tunder formal dispute or legal appeal.	or defendant, com ES, provide details	plainant or respondent, on a separate sheet and	☐Yes ☐No
6.	Has the applicant, the applicant's parent company or any business tax return in the past two years?	y other intermediary	y business entity filed a	☐ Yes ☐ No
7. Has the applicant, the applicant's parent company or any other intermediary business entity completed financial statements, either audited or unaudited, in the past two years? If YES, attach all financial statements completed in the past two years.			☐Yes ☐No	
8.	8. Has any interest or share in the profits of the sale of Marijuana been pledged or hypothecated as security for a debt or deposited as a security for the performance of an act or to secure the performance of a contract? If YES, provide details on a separate sheet.			☐Yes ☐No
9. Attach a list detailing the operating and investment accounts for this business, including financial institution name, address, telephone number, and account number for each account.				
10. Attach a list detailing each outstanding loan and financial obligation obtained for use in this business, including creditor name, address, phone number, loan number, loan amount, loan terms, date acquired, and date due.				
Per	son who maintains Applicant's business records		Title	
Add	ress		Phone Number ( )	
Pers	son who prepares Applicant's tax returns, government forms & reports		Title	
Add	ress		Phone Number	
Loc	ation of financial books and records for Applicant's business		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	

# **Affirmation & Consent**

I,					
Print Full Legal Agent Name clearly below:					
Applicant's Business Name		Trade Name (DBA)			
Legal Agent Last Name (Please Print)	Legal Agent	First Name		Legal Agent Middle Name	
Signature			Date	e	

# Investigation Authorization Authorization to Release Information

I,, as an authorized agent for the applicant,
hereby authorize the Colorado Marijuana Licensing Authority, the Marijuana Enforcement Division, (hereafter, the Investigatory Agencies) to conduct a complete investigation into my personal background, using whatever legal means they deem appropriate. I hereby authorize any person or entity contacted by the Investigatory Agencies to provide any and all such information deemed necessary by the Investigatory Agencies. I hereby waive any rights of confidentiality in this regard. I understand that by signing this authorization, a financial record check may be performed. I authorize any financial institution to surrender to the Investigatory Agencies a complete and accurate record of such transactions that may have occurred with that institution, including, but not limited to, internal banking memoranda, past and present loan applications, financial statements and any other documents relating to my personal or business financial records in whatever form and wherever located. I understand that by signing this authorization, a financial record check of my tax filing and tax obligation status may be performed. I authorize the Colorado Department of Revenue to surrender to the Investigatory Agencies a complete and accurate record of any and all tax information or records relating to me. I authorize the Investigatory Agencies to obtain, receive, review, copy, discuss and use any such tax information or documents relating to me. I authorize the release of this type of information, even though such information may be designated as "confidential" or "nonpublic" under the provisions of state or federal laws. I understand that by signing this authorization, a criminal history check will be performed. I authorize the Investigatory Agencies to obtain and use from any source, any information concerning me contained in any type of criminal history record files, wherever located. I understand that the criminal history record files contain records of arrests which may have resulted in a disposition other than a finding of guilt (i.
The Investigatory Agencies reserve the right to investigate all relevant information and facts to their satisfaction. I understand that the Investigatory Agencies may conduct a complete and comprehensive investigation to determine the accuracy of all information gathered. However, the State of Colorado, Investigatory Agencies, and other agents or employees of the State of Colorado shall not be held liable for the receipt, use, or dissemination of inaccurate information. I, on behalf of the applicant, its legal representatives, and assigns, hereby release, waive, discharge, and agree to hold harmless, and otherwise waive liability as to the State of Colorado, Investigatory Agencies, and other agents or employees of the State of Colorado for any damages resulting from any use, disclosure, or publication in any manner, other than a willfully unlawful disclosure or publication, of any material or information acquired during inquiries, investigations, or hearings, and hereby authorize the lawful use, disclosure, or publication of this material or information. Any information contained within my application, contained within any financial or personnel record, or otherwise found, obtained, or maintained by the Investigatory Agencies, shall be accessible to law enforcement agents of this or any other state, the government of the United States, or any foreign country.

Print Full Legal Name of Authorized Agent clearly below:

Applicant's Business Name

Trade Name (DBA)

Legal Agent Last Name (Please Print)

Legal Agent First Name

Legal Agent Title

Signature (Must be signed in front of one witness)

Date (MM/DD/YY)

City

State

Witness 1 Signature

### **Applicant's Request to Release Information**

TO:	FROM: (Applicant's Printed Name)			

- 1. I/We hereby authorize and request all persons to whom this request is presented having information relating to or concerning the above named applicant to furnish such information to a duly appointed agent of the Marijuana Enforcement Division whether or not such information would otherwise be protected from the disclosure by any constitutional, statutory or common law privilege.
- 2. I/We hereby authorize and request all persons to whom this request is presented having documents relating to or concerning the above named applicant to permit a duly appointed agent of the Marijuana Enforcement Division to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.
- 3. I/We hereby authorize and request the Colorado Department of Revenue to permit a duly appointed agent of the Marijuana Enforcement Division to obtain, receive, review, copy, discuss and use any such tax information or documents relating to or concerning the above named applicant, whether or not such information or documents would otherwise be protected from disclosure by any constitutional, statutory, or common law privilege.
- 4. If the person to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of the same, I/we hereby authorize and request that a duly appointed agent of the Marijuana Enforcement Division be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to me/us, including but no limited to past loan information, notes co-signed by me/us, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
- 5. I/We do hereby make, constitute, and appoint any duly appointed agent of the Colorado Marijuana Enforcement Division, my/our true and lawful attorney in fact for me/us in my/our name, place, stead, and on my/our behalf and for my/our use and benefit:
  - (a) To request, review, copy sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person to whom this request is presented as I/we might;
  - (b) To name the person or entity to whom this request is presented and insert that person's name in the appropriate location in this request:
  - (c) To place the name of the agent presenting this request in the appropriate location on this request.
- 6. I grant to said attorney in fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as I/we might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney in fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
- 7. This power of attorney ends twenty-four (24) months from the date of execution.
- 8. The above named applicant has filed with the Colorado Marijuana Licensing Authority an application for a Marijuana license. Said applicant understands that it is seeking the granting of a privilege and acknowledges that the burden of proving its qualifications for a favorable determination is at all times on the applicant. Said applicant accepts any risk of adverse public notice, embarrassment, criticism, or other action of financial loss, which may result from action with respect to this application.
- 9. I/We do, for myself/ourselves, my/our heirs, executors, administrators, successors, and assigns, hereby release, remise, and forever discharge the person to whom this request is presented, and his agents and employees from all and all manner or actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which the applicant ever had, now has, may have, or claims to have against the person to whom this request is being presented or his agents or employees arising out of or by reason of complying with the request.
- 10. I/We agree to indemnify and hold harmless the person to whom this request is presented and his agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.
- 11. A reproduction of this request by photocopying or similar process shall be for all intents and purposes as valid as the original.

Print Full Legal Name of Authorized Agent clearly below:					
Legal Agent Last Name (Please Print)	Legal Agent First Name	Legal Agent Middle Name			
I I A I TU					
Legal Agent Title	Signature (Must be signed in front of one witness)				
Date (MM/DD/YY)	City	State			
Witness 1 Signature					
Signature of Marijuana Enforcement Division agent presenting this request		Date			